



COMMONWEALTH CASINO COMMISSION

Commonwealth of the Northern Mariana Islands

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PUBLIC NOTICE OF CERTIFICATION AND ADOPTION OF AMENDMENTS TO REGULATIONS OF Commonwealth Casino Commission Juan M. Sablan, Chairman

PRIOR PUBLICATION IN THE COMMONWEALTH REGISTER
AS PROPOSED AMENDMENTS TO REGULATIONS
Volume 40, Number 03, pp 040583-040589, of March 28, 2018
Volume 40, Number 03, pp 040590-040597, of March 28, 2018

Regulations of the Commonwealth Casino Commission

ACTION TO ADOPT PROPOSED AMENDMENTS TO REGULATIONS: The Commonwealth of the Northern Mariana Islands, Commonwealth Casino Commission (CCC) HEREBY ADOPTS AS PERMANENT amendments to the Proposed Regulations which were published in the Commonwealth Register at the above-referenced pages, pursuant to the procedures of the Administrative Procedure Act, 1 CMC § 9104(a). The CCC announced that it intended to adopt them as permanent, and now does so. (Id.). I also certify by signature below that, as published, such adopted regulations are a true, complete and correct copy of the referenced Proposed Regulations, and that they are being adopted without modification or amendment, except as stated as follows:

(no changes)

PRIOR PUBLICATION: The prior publication was as stated above. The CCC adopted the regulations as final in the July 31, 2018 public meeting of the Commission.

MODIFICATIONS FROM PROPOSED REGULATIONS, IF ANY: Modifications were made as specified above. I further request and direct that this Notice of Adoption be published in the Commonwealth Register.

AUTHORITY: The CCC is required by the Legislature to adopt rules and regulations regarding those matters over which the CCC has jurisdiction. See Public Laws 18-56 and 19-24.

EFFECTIVE DATE: Pursuant to the APA, 1 CMC sec. 9105(b), these adopted regulations are effective 10 days after compliance with the APA, 1 CMC §§ 9102 and 9104(a) or (b), which, in this instance, is 10 days after this publication in the Commonwealth Register.

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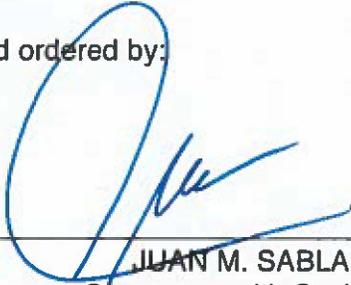
COMMENTS AND AGENCY CONCISE STATEMENT: Pursuant to the APA, 1 CMC sec. 9104(a)(2), the agency has considered fully all written and oral submissions respecting the proposed regulations (no written comments submitted). Attached hereto are CCC responses to all public comments received. (none) Upon this adoption of the regulations, the agency, if requested to do so by an interested person, either prior to adoption or within 30 days thereafter, will issue a concise statement of the principal reasons for and against its adoption, incorporating therein its

reasons for overruling the considerations urged against its adoption.

ATTORNEY GENERAL APPROVAL for non-modified regulations or regulations with NON-material modification: The adopted regulations were approved for promulgation by the Attorney General in the above-cited pages of the Commonwealth Register, pursuant to 1 CMC sec. 2153(e) (To review and approve, as to form and legal sufficiency, all rules and regulations to be promulgated by any department, agency or instrumentality of the Commonwealth government, including public corporations, except as otherwise provided by law).

I DECLARE under penalty of perjury that the foregoing is true and correct and that this declaration was executed on the 14th day of August, 2018, at Saipan, Commonwealth of the Northern Mariana Islands.

Certified and ordered by:



JUAN M. SABLAN
Chairman, Commonwealth Casino Commission

August 14, 2018
Date

Filed and
Recorded by:



ESTHER SN. NESBITT
Commonwealth Registrar

08.23.2018
Date

§ 175-10.1-1955 Additional Reporting Obligations of Casino Key Employees, Casino Employees and Others.

(a) Any: casino key employee: casino employee: director, officer, or shareholder of the casino licensee or any affiliate company; or any spouse, child or other close relative of any of the aforementioned, who

(1) Has an interest in a firm that does business with the casino licensee;

(2) Borrows or accepts money or gifts or other favors from a person or firm doing business with the casino licensee;

(3) Engages in a private business relationship with a person or firm doing business with the casino licensee, particularly if the casino key employee, casino employee, director, officer, shareholder, spouse or relative supervises the relationship with that person or firm;

(4) Engages in a private business relationship with a supervisor;

(5) Engages in a competing business or owns stock or other securities of a competitor other than insignificant interest in public companies;

(6) Engages in a private business venture with an officer or other employee of a firm that competes with the casino licensee;

(7) Uses the casino licensee resources for personal benefit, such as extension of complimentary items or services, to further personal rather than a casino licensee business purpose;

(8) Uses the casino licensee's staff or assets for personal business; or must inform the Commission in writing of the circumstances of such occurrence;

(9) Has an interest in or speculating in products or real estate whose value may be affected by the casino licensee's business; and improperly divulges or uses confidential information such as plans, operating or financial data or computer programs.

(b) The written notice required by (a) above must be made to the commission within seven (7) calendar days of the occurrence.

(a) A person ("applicant") can apply to the Executive Director on behalf of his or her legally married spouse ("patron") for inclusion into an exclusion program because of concern that the patron is a problem gambler.

(b) Upon receipt of the application, the Executive Director may (1) ask for the name and any available identification of the potential problem gambler from the applicant; (2) Inform the applicant that the casino license will, within thirty (30) days of notification from the CCC, compare the behavior of the patron to the casino licensee's problem gaming policies, and approach the patron if their gaming history indicates actual or potential harm arising from gambling; (3) Inform the applicant that the casino license will mail problem gambling information to the patron if the patron's mailing address is known; (4) Provide the applicant with problem gambling information and details of how to obtain support to the patron for problem gambling; (5) Inform the applicant that neither the CCC nor the casino licensee will provide the applicant with any personal information of the patron which comes to the attention of the CCC or the casino licensee. (6) The Executive Director may promulgate any needed form or procedure to implement this regulation. The forms shall, at a minimum, ensure that the applicant has the required relationship with the patron and the applicant must state the reasons why the patron should be excluded. The applicant must provide supporting evidence, for example, financial records, proving that the patron's family is in financial difficulty as a result of the patron's gambling activity. The application must complete a declaration under penalty of perjury confirming their request for third party exclusion of the patron and listing the reasons therefore.

(c) Within five (5) days of receipt of an application including the completion of all required forms, the Executive Director shall provide the casino licensee with a copy of the application and any other information the Executive Director Deems relevant.

(d) Within twenty five (25) days of receipt of the information listed in (c) above, the casino licensee shall: (1) compare the behavior of the patron to the casino licensee's problem gaming policies, and approach the patron if their gaming history indicates actual or potential harm arising from gambling; (2) Attempt to meet with the patron to determine if sufficient facts and evidence exists to warrant the exclusion of the patron as a problem gambler. The patron may be afforded an opportunity to explain why the patron should not be excluded. The casino licensee may make further enquiries before making a decision about excluding the patron; (3) hand deliver problem gambling information to the patron if he visits the casino or mail problem gambling information to the patron if the patron's mailing address is known; (4) decide whether the patron will be excluded

if the patron chooses not to self-exclude; (5) inform the Executive Director of the results of the activities listed in (1)-(4) above.

(e) Exclusion Decision. (1) If the patron decides to self-exclude, the casino licensee shall immediately inform the CCC of that fact and the casino licensee shall immediately provide to the patron the self exclusion forms required by this Part. (2) If the patron does not wish to self exclude, the casino licensee shall decide whether or not to proceed with the exclusion of the patron.

(f) Notification of exclusion. If the casino licensee determines that the patron should be excluded, it shall provide the patron with written notification thereof.

(g) Ending the Exclusion. After at least two years, the patron can apply to have the ban lifted and the exclusion terminated. (1) The patron must provide evidence that his or her gambling is under control and done for reasons other than compulsion. This may be in the form of a supporting letter from a medical professional or certified gambling counselor. (2) The patron shall be required to undertake an assessment interview with the casino licensee prior to the ban being lifted and termination of the exclusion. (3) At least ten (10) days prior to the termination of the exclusion, the casino licensee must notify the Commission.